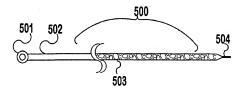
# PCT

#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(22) International Filing Date: 28 April 2000 (28.04.00)  30) Priority Data: 335553 30 April 1999 (30.04.99) NZ GM, KE, LS, MW, LLMITTED [NZ/NZ]; 19 Laureston Avenue, Otahuhu 1010 (NZ). (72) Inventors; and (75) Inventors' applicants (for US only): ELLIOTT, Robert, Francis  30, BR, BY, CA, CD, ZD, CR, CR, CR, CR, CR, CR, CR, CR, CR, CR	tumber: WO 00/6618: rate: 9 November 2000 (09.11.00
Cristopher, Edward (NOZ) 232 Cartion Gore Road, Cristopher, Edward (NOZ).  (74) Agent: ENSOR, Donald, Rivers; 111 Western Springs Road, Auckland 1003 (NZ).	AG, AL, AM, AT, AU, AZ, BA, BI, H, CN, CR, CU, CZ, DE, DK, DM GD, GE, GH, GM, MIR, HU, ID, II P, KR, KZ, LC, LK, LR, LS, LT, LI KS, MM, MW, MM, NO, NZ, PL, NY, LS, LS, LS, LS, LS, LS, LS, LS, LS, LS



#### (57) Abstract

A "supplementary choroid plexus" comprising preferably a senotransplant of choroid plexus cells form a neonatal mammal provides a serious purply of trephic factors for administration to a central nervous system in need of treatment for a neurological disease. Choroid plexus cells mandateur a range of trophic factors, particularly during feat development. This neurotophic factor therapy may be useful in treating clinical and subclinical neurodepenerative diseases particularly those in which the choroid plexus has often become atophic. The senotransplant is cloaked in a protective layer (such as of alignate) capable of conceing the foreign nature of the transplant. Lateral ventricles are the preferred implantation site, from where the trophic factors are carried through the neuropil by the circuitation of cerebrospinal fluid.

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugotlay	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL.	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	keland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
СМ	Cameroon		Republic of Korea	PL.	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kuzakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

# TITLE Xenotransplant for CNS therapy

### **FIELD**

This invention relates to a composition for treatment of some neurological diseases of the central nervous system of a mammal and more particularly to compositions including living 5 cells derived from a mammal, and in particular to a composition and method of use employing the living cells to express factors, over a period, capable of having a desired effect on the central nervous system.

### BACKGROUND

- Significant neurodegenerative diseases of the central nervous system (CNS) include

  10 Alzheimer's disease (AZ), multiple sclerosis (MS) and Parkinson's disease (PD). In the United

  States and Europe alone, the incidence of AZ is estimated at 8 million; MS at 0.7 million, and

  PD at 1.5 million. There are of course many other diseases; epilepsy, Huntington's chorea,

  stroke, and so on. At this time all available treatments would appear to be palliative rather than

  restorative and the inevitable progress of these diseases is slowed, perhaps, but not reversed.
- 15 The assumption that neurones cannot regenerate has constrained past approaches for treatments of diseases of the central nervous system. Furthermore, therapy of the central nervous system (CNS) is more difficult than for the remainder of the body in part because of the "blood-brain barrier" -which is a concept used to describe a functional obstacle to the entry of some materials including therapeutic materials from the systemic circulation. The barrier lesides, in functional terms, around all (normal) capillary structures within the CNS. Morphologically, altered pinocytotic behaviour and tight junctions of the endothetial cells are characteristic. Introduction of foreign substances directly into the CNS, such as into the

ventricles is a good deal more difficult, unpleasant, and dangerous than taking a pill four times daily. In addition, a particular and quite separate version of "lymph circulation" within 25 the CNS - the circulation of cerebrospinal fluid - tends to remove any material that does cross the barrier. Lymphatics themselves do not extend to the CNS.

There is also a knowledge barrier. For example a widely held belief is that the cerebrospinal fluid does not perfuse the substance of the brain in a manner capable of carrying materials about, while a few, including ourselves, believe that it does provide an effective perfusion 30 medium capable of distributing trophic factors about most, if not all of the parenchyma of the CNS making use of white matter tracts, perivascular spaces and the like. There has been experimental evidence for that widely held belief, e.g. Brightman & Reese, or Blasberg Patlack & Fenstermacher, (cited in W M Pardridge "Transnasal and intraventricular delivery of drugs" in "Peptide drug delivery to the brain" ed. W M Pardridge, New York: Raven Press 1991) 35 involving limited distances achieved by the intraventricular infusion of a selection of traceable compounds. Most prior art known to us appear to be based on local diffusion, such as US 5853385, or US 5573528 "Implanting devices for the focal release of neuroinhibitory compounds" to Aebischer & Tresco. Krewson et al (Brain Res 1995 May 22 680 (1-2) 196-206 state that nerve growth factor travelled only 2-3 mm from a polymer insert through rat brain 40 tissue. This paper also exemplifies the "single-factor" approach. See later, A knowledge gan also extends in relation to the interplay between trophic substances (such as insulin growth factors including IGF-II and the like, also nerve growth factor or NGF.) and their normal regulation and site or sites of production at different stages of life including the fetus. Walter HJ et al (Endocrinology 140(1) 520-32) considers that IGF-II secretion from the choroid plexus of an 45 injured rat brain is raised as a response to injury, "resulting in an increased transport of the peptide to the wound".

An increasing number of conditions of the central nervous system capable of responding to therapy are being recognised. It is interesting to note that cerebrospinal fluid production is impaired in a number of such conditions and furthermore it is possible that there is a loss of paracrine factors such as growth factors, in the case of specific diseases.

In many cases the indicated therapeutic agent for a restoration therapy or the like is a naturally occurring cell secretion, for example a polypeptide (such as IGFII) rather than an exogenous substance such as an antibiotic derived from a fungus or bacterium. Substantially continuous application throughout the entire CNS over a long period is acceptable in most of these treatments.

Within the patent literature, Patrick Aebischer and associates have filed many patents dealing

with implants, including both live cells and manufactured slow-release formulations into specified parts of the CNS; for example US5389535 for manufacturing a tubular cell-carrying implant. WO99/56770 to Chang is possibly most similar to the present application, in that 60 Chang teaches the injection of microcapsules holding specified live cells, capable of releasing an enzyme lacking in a lysosomal storage disease, into a ventricle. Cells known as "neural stem cells" are used by Carpenter in US 5968829 to CytoTherapeutics Inc; such cells are undifferentiated cells capable of evolving into either neurones or glial cells. A commercial application was absent. Many documents (eg USS898066 for trophic factors (axogenesis factors), WO9936565 (human ependymin), US5573528 (neuroinhibitory compounds such as GABA for control of involuntary movement) deal with specific substances. Gage et al (US5762926) exemplifies genetically modified live-cell grafts, and Holland et al (US5550050) describes exposure of live cells to restrictive conditions prior to implantation; both so that the resulting implant functions in the intended manner.

70 There is little published material dealing with "factors leading to rejuvenation" and no patents take advantage of the differentiated, very active cells of the choroid plexus

The problem to be solved is to identify an effective treatment for at least one neurological disease.

# **DEFINITIONS**

75 A "neurological disease" covers any disorder of the central nervous system. It may for example be a global neurodegenerative disease, such as ageing, vascular disease, Alzheimer's disease, or the more localised Parkinson's disease, or the autoimmune disease multiple sclerosis (MS), it may be a result of an injury, such as a stroke, anoxia/asphyxia, or physical injury such as from a blow to the head, it may be a result of exposure to local (eg meningitis) or systemic toxins, and it may be neoplastic. It may be genetically based, such as Huntington's chorea, or a disorder of metabolism such as lysosomal storage disease.

There is a group of "global neurodegenerative diseases" including AZ and others, affecting the elderly, the usual pattern of response to acute injury (such as ischaemia), affecting any age group including stroke victims and car accident victims, autoimmune diseases such as MS, PD, and certain diseases, including deficiencies of metabolism, of neonates and fetuses. Indeed PD may be more global than is currently appreciated. The known defects in and around the basal ganglia may be reflected elsewhere.

By "restorative effect" we include any beneficial modification of the disease process.

including palliative, restorative, or proliferative effects acting on neural tissue, glia, or vascular 90 elements. We tend to use "trophic" and "growth" factors interchangeably.

By "rejuvenation" we mean attempts to reverse changes in a brain commonly considered to be the usual, if not the normal consequences of ageing, such as loss of volume, loss or atrophy of neurones, loss of memory, and loss of ability to cope with complex sensory inputs. Rejuvenation could also comprise restorative effects on existing neurones, neural rescue as required after an asphyxic episode, or "sick neurones".

### OBJECT

It is an object of this invention to provide apparatus and/or material, and/or means for CNS therapy based on xenotransplantation of choroid plexus epithelium, or at least to provide the public with a useful choice.

# 100 STATEMENT OF INVENTION

In a first broad aspect this invention provides a pharmaceutical composition, comprising an implant for implantation into the brain of a recipient mammal suffering from a neurological disease, wherein the implant comprises living cells, derived from epithelial cells of the choroid plexus of another mammal, and the living cells are capable of expressing at least one product having a beneficial effect on the neurological disease into the brain of the recipient mammal.

Pre@rably all the living cells are derived from epithelial cells of the choroid plexus; alternatively some of the cells may be derived from other tissues of the choroid plexus or from other sources.

Preferably the pharmaceutical composition is modified so as to be capable of survival following

its introduction within the brain of the mammal while producing the therapeutic agent, so that
treatment over an extended period can be applied.

Preferably the living cells are encapsulated within a biocompatible capsule, the wall of which is at least partially composed of a semi-permeable membrane capable of admitting metabolites for sustaining the cells, capable of blocking access by factors of the immune system of the recipient mammal, and capable of allowing an effective amount of one or more expressed products to exit from the implant.

Preferably the ingress of any substances capable of controlling the rate of release of the therapeutic agent is also permitted.

Preferably the biocompatible capsule has an inner layer comprised substantially of a laminin or

120 the like; the laminin serving as a physical substrate for the at least one living cell thereby providing orientation and support for the at least one cell.

Preferably the biocompatible capsule comprises a globular containment means capable of holding at least one cell.

More preferably the biocompatible capsule comprises an extended tubular containment means

125 capable of holding at least one cell; the implant being capable of placement within a ventricle

of the brain of the recipient mammal, so that the substance of the brain receives an effective

amount of at least one product carried by means of a flow of cerebrospinal fluid.

One preferred physical substrate is shaped like a hollow dialysis tube which is capable in use of holding living tissue (as previously described in this section) within a space within the CNS.

Another possible physical substrate comprises a closed meshed structure capable of retaining cell groups inside biocompatible capsules within the closed structure so that the entire structure may be removed as a unit.

Preferably at least one living epithelial cell is taken from the choroid plexus of a fetal or neonatal mammal having a selected age, so that the at least one living cell has a predicted capability for expressing at least one product capable of having a beneficial effect on a neurological disease, so that the recipient mammal may experience a beneficial effect.

Preferably the donor mammal is a non-human mammal.

Conveniently the donor mammal or at least the living material is free of infectious agents and preferably the donor mammal is from a stock kept under germ-free conditions.

140 Optionally the at least one living cell has undergone subsequent modification in order to increase the production of at least one product capable of having a beneficial effect on a neurological disease, so that the recipient mammal may experience a beneficial effect.

Optionally the living material may comprise cultured cells; that is, separated by one or more generations from an initial isolate.

145 Preferably, treatments such as bFGF may be used to selectively enhance growth in culture.

Therapeutic agents include but are not limited to the naturally occurring peptides IGF-II, VEGF, TGF-alpha, NT-3 and bFGF.

Alternatively the living material comprises cells having a modified complement of genetic material capable of either secreting novel peptides

Alternatively the factors secreted may include naturally occurring peptides (such as one or more of those previously listed in this section), in altered amounts.

Alternatively the peptides secreted may include compounds normally secreted elsewhere, such as thyroxine, insulin, or analogues thereof.

Alternatively the peptides secreted includes sets of peptides secreted during different stages of development, such as peptides characteristic of fetal or neonatal choroid piexus cells, or analogues thereof.

Preferably the living material is also capable of being controlled by one or more endogenous control agents, or by one or more exogenous control agents.

Preferably the invention provides a container for transport and distribution, capable of holding

160 at least one implant as previously described in this section, wherein the container also holds a

liquid media capable of maintaining the at least one implant in a living condition for a time
during transport and storage.

Optionally the living material is provided in a state of suspended animation, suitable for storage and transport. Preferably this state is a cryopreserved state, although other forms of providing for the continuation of cell metabolism are included.

A preferred method for implanting at least one implant as previously described in this section within a ventricle includes the steps of selecting a recipient mammal according to need, surgically accessing a lateral ventricle, placing at least a portion of the implant within the ventricle, and optionally removing the implant after a period of treatment.

- 170 In a second broad aspect this invention provides a kit of materials for surgical implantation of an implant, as previously described in this section, in the central nervous system of a recipient mammal, the kit of materials includes means for providing a sterile site, means for obtaining surgical access through the cranium to the central nervous system, means for haemostasis, means for placing at least one implant in an intended position, a container holding implants as previously described in this section, means for closing off the surgical access site, and means for dressing the surgical access site, so that a risk of introducing a slow virus infection during the operative procedure is minimised.
  - Optionally the kit of materials is restricted to means for placing at least one implant in an intended position and a container holding implants as as previously described in this section.
- 180 Preferably the implant is surgically implanted into a ventricle of the central nervous system and preferably into a lateral ventricle by a frontal route so that the cell products expressed from the

implant may flow rapidly into at least some regions of the central nervous system

Optionally the implant is implanted into a localised area of the central nervous system; the localised area being known to be liable to benefit, in terms of the neurological disease, from the at least one product expressed from the implant.

Preferably the implant is capable of removal after the duration of a treatment procedure has expired, or at least once the efficacy of the pharmaceutical composition has become inappropriate.

In a third broad aspect this invention provides a vascularised device or artificial choroid plexus

capable of implantation within the body of a mammal to be treated, wherein the vascularised

device includes (a) means to connect a first, blood-bearing compartment of the device between

an artery and a vein, (b) means to pass a a fluid carrying means leading from a second,

transudate-bearing compartment of the device to an implantable second end of the fluid

carrying means, capable of being implanted into a space within the brain containing

cerebrospinal fluid, and (c) internal support means, comprising a permeable wall between the

first compartment and the second compartment, capable of supporting at least one living cell as

claimed in claim 1, so that said at least one living cell is bathed in transudate passing from the

first compartment to the second compartment and so that said living cell may express trophic

factors into the transudate carried into the brain.

- 200 In a fourth broad aspect this invention provides a method for causing at least partial rejuvenation of a brain of a mammal by means of xenotransplantation as previously described in this section, wherein the method employs implantation of an implant of choroid plexus cells derived from a fetal or neonatal mammal into the brain.
- In a fifth broad aspect the invention provides a method for treating injuries to the central

  205 nervous system; the method including the step of inserting a pharmaceutical composition
  including living tissue (as described previously in this section) into a CSF-filled space within the
  central nervous system.

# PREFERRED EMBODIMENT

The description of the invention to be provided herein is given purely by way of example and 210 should not to be taken in any way as limiting the scope or extent of the invention.

### DRAWINGS

Fig 1: Diagram of an encapsulated choroid plexus cell preparation. (Example 1)

Fig 2: Graph showing uptake of doparnine by cells exposed to media previously surrounding a choroid plexus cell preparation.

215 Fig 3: Photomicrograph of an encapsulated choroid plexus cell preparation

230

- Fig 4: Photomicrograph of an encapsulated choroid plexus cell preparation
- Fig 5: Diagram of an example dialysis tube implant for a choroid plexus cell preparation.

In summary, the invention (as embodied within this example) particularly comprises the use of living choroid plexus secretory cells used as an xenobiotic transplant or "artificial choroid plexus" placed most conveniently though not exclusively within the cerebral ventricles. The 220 choroid plexus, situated within each lateral ventricle and also in the roof of the fourth ventricle is described as a highly vascularised substrate covered by epithelial cells which are in contact with the cerebrospinal fluid. A large number of villous processes provide an estimated surface area not including consideration of the apical microvilli of the epithelial (sometimes called 225 ependymal) cells of over 200 square cm (adult human).

The choroid plexus is well-innervated vascular tissue (more correctly an organ) covered with a basement membrane comprising the usual variants of collagen, one or more types of laminin, proteoglycans and other extracellular matrix molecules, which is in turn covered by an unicellular epithelium-like layer and occurring in several consistent sites within the cerebral ventricles. It appears to act as the source of most of the cerebrospinal fluid. Electron microscopy shows that the epithelial cells include a number of specialisations for protein synthesis and export including a dense layer of microvilli adjacent to the ventricle and adjacent to rough endoplasmic reticulum with ribosomes, yet relatively little Golgi apparatus, consistent with polypeptide secretion. Mitochondria are frequent. Underlying the epithelium there are 235 fenestrated endothelial cells of an almost continuous layer of capillaries.

The account of which we approve concerning the circulation of cerebrospinal fluid is as follows: The choroid plexus comprises a well-folded sheet of epithelial cells supplied with an extensive capillary bed, together with "a well-developed adrenergic and cholinergic nerve supply" (Lindvall M et al, Acta Physiologica Scand Suppl 1977; 452; 77-86). Most CSF 240 originates within the choroid plexus tissue as combined ultrafiltrate and secretion, while a small amount originates in subarachnoid and perivascular spaces. This circulates unidirectionally through the cerebral aqueduct or aqueduct of Sylvius into the fourth ventricle, then through the median foramen of Magendie or lateral apertures of Luschka, then into and around the brain and spinal cord. The fate of most CSF is reabsorbtion into the blood at the arachnoid 245 villi and through capillary walls. In the adult human about 430-450 ml of CSF is produced

- daily. Given that about 125-150 ml of fluid is present at any one time it follows that this amount is turned over every 6 or 7 hours. This unidirectional flow model does not include a clear path for putative substances from within neural tissue to reach and have any autoregulatory effect on the choroid plexus lying substantially at the "headwaters"; perhaps 250 these travel via the blood or perhaps there is some reusage of CSF. Carriage of CSF through the parenchyma of the brain includes perivascular spaces, white matter tracts, and the like. Recently, Segal MB reviewed the choroid plexus (in Cell Mol Neurobiol 2000 Apr, 20(2) 183-196) and stated that "the CSF may act as a third circulation conveying substances secreted into the CSF rapidly to many brain regions". Note the term "rapidly".
- 255 Recent research suggests that the choroid plexus is likely to produce a number of trophic factors that co-ordinate cerebral development and thus anabolic processes. For example Zheng et al note that the thyroxin transport protein "transthyretin" (TTR) occurs in choroidal epithelial cells (and may serve as a diagnostic or assay feature to indicate activity of such cells). Age dependence of the trophic factors being produced is quite likely. Our previous research on xenobiotic transplants of pig pancreatic islet cells as an "artificial endocrine pancreas" provided a source of systemically available insulin which is responsive to autoregulation and the present invention provides an analogous approach to treatment of tissues usually regarded as behind the blood-brain barrier and therefore difficult to reach for treatment.
- Indeed, Alzheimer's disease is sometimes called "diabetes of the brain". Whether or not this particular description is accurate, we expect to identify a number of syndromes where an artificial choroid plexus provides a useful form of treatment particularly in that it avoids repeatedly invading the CSF for the purposes of treatment. Myelinisation of axons in the central nervous system, such as during early postnatal life, presumably depends at least in part on a trophic factor.
- 270 It would appear that placement of an artificial choroid plexus comprising active epithelial cells behind a mutually protective barrier within a ventricle would provide a route for the introduction of substances into the CSF without having to cross a blood-brain barrier. Initially we have explored those substances naturally produced by choroid plexus epithelial cells. Known factors include: insulin-like growth factor (IGF-II), transforming growth factor alpha 275 (TGF-a), retinoic acid (RA) which may be an essential trigger for neural differentiation, perhaps nerve growth factors (NGF), and possibly, because these factors are present in the CSF, vaso-endothelial growth factor (VEGF), and fibroblast growth factor (FGF). The choroid plexus also synthesises a variety of binding proteins which act as directed carriers of trophic factors.

#### EXAMPLE 1

280 This example relates to the preparation of choroid plexus secretory cells suitable for encapsulation, and tests. All procedures are carried out in "GMP" licensed facilities, including strict infection barriers.

Late fetal or neonatal pigs are anaesthetised and their brains removed under aseptic surgical conditions, and cut in half to expose the plexus tissue. (We happen to have an SPF colony of pigs; but the invention is not limited to use of pig material).

- (1) The cells producing the various soluble factors required are first freed from the surrounding tissues by collagenase digestion. The choroid plexus is removed from the piglet brain after as short a time of warm ischemia as possible. The plexus is minced and subjected to the digestion process in a suitable warm buffer with suitable mild agitation.
- 290 (2) The intact choroidal secretory cells are then separated from cellular debris and undigested tissue aggregates by filtration and then repeated centrifugation and washing in HBSS. They are then suspended in RPMI 1640 cell culture media, with added 2% human serum albumin and 1% penicillin/streptomycin.
- (3) The cells are then inoculated into 6-well plates which may have received treatment to assist in the attachment of the cells to the inner surface. A preferred treatment comprises previous exposure of the well to a 0.025 mg/ml solution of laminin (Sigma L2020) for 2 minutes. (This is notably more successful in terms of surviving cells, which have spread about, than agarose).
- (4) After a predetermined period of culture, typically 24 days at 37C, the cells are harvested and for example may be checked for viability by dye exclusion.
  - (5) The secretory functionality is checked using an in vitro culture, using the "conditioned media" removed from the choroid plexus cells. (see Fig 2 and Table 1) Further tests may include functional tests such as measures of the ability to produce IGF-II or TTF.
- Note that this example procedure does not include any particular step to effectively exclude

  305 cells other than epithelial cells; there is a possibility that fibroblasts, endothelial cells, and the

  like co-exist, may assume similar in vitro growth patterns, and may interact. There is a

  possibility that useful inter-relationships between choroid epithelial cells and other cells not

  from the choroid plexus may be exploited in order to make a more effective implant.

# Assessment of neurotrophic bioassay technique for testing conditioned media from processed 310 choroid cells.

For this experiment cells of the Sks cell line (a neuroblastoma) were plated into a sterile 96well plate at 10,000 cells per well and after 24 hours "settling" at 37 deg C in humidified air, the original media was replaced by varying proportions of conditioned media as described above. Then the cells were visually assessed and scored according to the amount of growth, the number of dendrites, and connections overlapping other cells. The 50% conditioned media group grew dense connections and dendrites which covered the whole well.

Table 1: Neuronal growth rate for a Sks cell line, scored after 36 hours exposure to conditioned media.

	100% CM	50% CM	10% CM	. 1% CM	control
Row I	+++	++++	++	+	+
Row 2	+++	++++	++	+	+
Row 3	++++	+++++	++	+	+
Row 4	+++	++++	++	+	+

# A dopamine uptake bioassay technique for testing conditioned media from processed 320 choroid cells (see Fig 2).

For this experiment, mesencephalon (Mes) cells - which would include glia and neurones - were isolated from E15 rat fetuses and incubated in MEM plus 5% fetal bovine serum. Next day the cell media was aspirated, the wells were rinsed once with MEM, 400 microlitres of N2 media added (including selenium, progesterone, BSA, insulin and transferrin) and 600 325 microlitres of RPMI media was added, containing conditioned media, to a final concentration of 0, 20%, 40% and 60%. The RPMI includes 10 mM nicotinamide and 5% human serum albumen. The cells were incubated for 36 hours and then standard dopamine and GABA uptake assays were performed. The results are illustrated in Fig 2, showing that dopamine uptake rises as the concentration of conditioned media is increased.

#### 330 Encapsulation of the choroid secretory cells

All procedures are carried out in GMP-certified aseptic conditions. Clumped choroid cells are suspended in a suitable sterile solution of alginate. Preferably the alginate is assured to contain minimal lipopolysaccharide and endotoxins. Preferably the alginate includes a substrate material such as a laminin, or the like, in order to assist cell settling. The alginate coating of the

335 suspended cell clumps is then hardened and rendered insoluble by exposure to calcium ions after suitable dispersion. The electrical charge on the alginate coating is then neutralised using polylysine or polyomithine. The encapsulated material is then further coated with alginate and hardened.

Figure 1 shows an example cell / coating structure 100. Here, clumps of cells 101 float in liquid

340 102 within a hollow sphere made up of several layers or coatings 103, 104, 105 which may be
alternating alginate, polyomithine, and alginate layers. Preferably the innermost layer at least
includes laminin. Figures 3 and 4 are photomicrographs showing actual globular containers
built with alginate and containing choroid epithelial cells. The encapsulated cell clumps are
then cultured further in physiological conditions with the addition of 10mM nicotinamide.

345 Thus a suspension of cell clumps each around 50 microns in diameter is made. In many ways,
a pharmaceutical composition which is a simple suspension of this type may be the most
convenient mode of delivery. Perhaps delivery can be by infusion through a spinal tap;
relatively easy to perform.

We propose that an artificial choroid plexus according to this example is capable of secreting
trophic substances including the known items listed previously, plus uncharacterised trophic
factors such as analogues of IGF-II or a variety of peptides, thereby having a beneficial
influence on the cells of the central nervous system by a paracrine mechanism. Some of the
effective trophic substances have not as yet been identified. This is inherent in the use of
extracts from a naturally occurring organ rather than an engineered cell line. Note that
set and of the control of the control of the growth factor binding proteins may play a useful part in this invention for carrying
the growth factors from the ventricle to the site of action within the parenchyma of the CNS;
examples being IGF-BP2 and possibly follistatin. GH-BP.

# **EXAMPLE 2**

Thread-like single implants are for several reasons preferred over a loose suspension of globules containing cells. For example, if a single globule breaks, the cells within may be released with adverse consequences such as of immunological rejection, or transfer of latent virus infection that may be carried by the cells. Also, neurosurgeons are understood to prefer to use threads because they resemble existing tubular implants such as shunts, and drainage and monitoring catheters for use in the intracranial ventricles. Surgical techniques for the placement and later removal of these are well established. An ability to remove implants according to the invention is likely to be useful. A significant amount of medical technology exists (e.g. "Medtronic", California) in relation to shunts, and drainage and monitoring catheters for use in the

intracranial ventricles. Therefore, example 2 comprises compatible objects for the delivery of xenotransplants in the form of living cells within selectively permeable tubing. Such tubing 370 comprises a kind of disposable, implantable device that carries either an inner surface lining of a laminin in order to induce the cells to settle, or includes a cavity holding protected choroid plexus cells as described above, which can be left in place for a long period of perhaps months.

All these systems involve the usual precautions (sterility and care) needed for an intracranial operation; however it may be possible to perform it under a local instead of a general 375 anaesthetic, and hence the procedure is more compatible with use in developing countries, and/or where costs should be minimised.

Surgical techniques for the implantation of a composition according to the invention can be carried out, preferably into a lateral ventricle, and preferably from a frontal, parietal, or occipital approach. The occipital approach, being more or less in line with the long axis of a lateral ventricle, allows a longer "artificial choroid" to be deposited. (It should be noted that in many of the conditions considered as appropriate for this type of treatment, stereotaxic techniques are difficult if not impossible owing to the brain becoming distorted). An example artificial choroid may comprise one or a bundle of dialysis-type hollow fibres containing free cells. Optionally, the fibre may be a tougher, more porous device (like a teabag) holding associations of coated cells or globular capsules, and in that case the permeability requirement is conveniently a function of the encapsulation rather than of the fibre which can be stronger. Preferably the fibre has an active end, and an inactive end by means of which the implant may later be retrieved. Fig 5 shows at 500 such a fibre. The inactive end 502 includes an eyelet 501 and the active end is heat-sealed 504. The permeable portion of the fibre includes globular capsules 508 holding active cells (see Figs 3 or 4).

Implants may be distributed for use within a container holding a conventional liquid lifesupport media as is well known in the art. A kit of materials for surgical implantation of an
implant may also be distributed in order to facilitate a sterile, slow virus-free operation. A
minimal kit of materials might include a blade to guide a cannula into the ventricle, a cannula,
and a container holding implants. A more comprehensive kit would also include drapes, skin
preparation materials, scalpels, haemostats, a drill for obtaining surgical access through the
cranium to the central nervous system, a blade guide, sutures, and dressings.

### **EXAMPLE 3**

Selection of choroid plexus cells capable of expressing a given balance of trophic factors, to use 400 the term in a broad sense, is preferably done by selecting a particular species of mammal, and

age of mammal from which the cells are to be harvested so that the cells of its choroid plexus already function as required. The age may be anywhere from perhaps mid-gestation or before when a choroid plexus is identifiable, to somewhere in postnatal life. The output of the choroid plexus - in terms of trophic factors - changes during development of the brain through gestation and for perhaps a year afterwards. Myelination, for example, continues to proceed well after birth. Accordingly, modification of harvested cells in order to manipulate their properties as by restriction of the environment or by introduction of genetic material (DNA) is not expected. However, there may be instances when such steps are indicated. (Restriction, such as measures to adapt the cells to function within a relatively low pO2, is already provided 410 for in this invention because a fetus has a lower pO2 in general). This invention may also be applied to cells taken from a human source. It may be possible to construct genetically modified and coated/protected choroid plexus cells for use in an xenotransplant designed for the purpose of compensating for a disease wherein inborn errors of metabolism affect the CNS wherein the implanted cells metabolise and thereby consume undesirable compounds, or 415 compensate with products for other cells in the brain that fail to secrete desirable compounds, on the brain side of the "blood-brain barrier". This may be useful in lysosomal storage diseases or for other genetically based defects such as aspartoacyclase deficiency

# **EXAMPLE 4**

This example describes the use of a vascularised mechanical construction at least partially simulating the architecture of a choroid plexus; as a two-compartment form of "artificial organ". It may be located elsewhere in the body at a convenient, preferably subcutaneous or intraperitoneal site and surgically anastomosed between an artery and a vein. A tube, like a shunt as used for hydrocephalus, is connected between the artificial organ and a ventricle or the like, to carry the cerebrospinal fluid-like output from the device into the central nervous system. The usefulness of this approach is in part based on the possibility that a relatively large volume of choroid plexus material, well vascularised, may be required to supply adequate amounts of both CSF fluid and trophic material for some neurological diseases.

Grven that in nature the choroid plexus overties an array of capillaries evidently exuding fluid, it may be useful to construct an implantable module including an artificial semi-permeable flitter element exposed on one side to a flow of blood at an effective pressure, having on the other side an accumulation of choroid plexus epithelial cells optionally attached by means of an artificial basement membrane including a laminin or the like to be washed with the transudate, and a conduit for the transudate plus growth factors leading to the ventricular system of the brain. Preferably a filter is included in the outflow so that cellular material is not swept into

435 the ventricle. It may be possible to either construct, or to cause the cells used in the artificial organ to mimic the extensively folded nature of the actual choroid plexus. In practice, there may be some usefulness in minimising a possible release of angiogenic factors backward from the active cells into the draining blood if the rate of flow is small, and usefulness in providing protection against an excessive quantity of fluid passing from the organ into the ventricle. In a worst case the fluid might comprise blood. An active control device, also capable of receiving external commands from time to time, may be included in the artificial organ and a precedent in life for such control means is the known extensive innervation received both by the vasculature and by the secretory cells of the choroid plexus.

### COMMERCIAL BENEFITS or ADVANTAGES

- 445 The pharmaceutical composition of this invention, when administered to patients suffering from a neurological disease or a disease causing degeneration of the CNS or parts thereof, may slow down or halt the disease (as a palliative treatment). This represents considerable personal, social and economic benefits. We expect that use of choroid plexus cells may even reverse the disease process by providing restorative treatment or possibly stimulating new
- 450 growth of neurones and/or their processes.
  - In some instances the invention may simply provide extra CSF; presumably indirectly. In others, it may provide factors that are no longer naturally present in sufficient quantity to maintain neurones against "factors causing atrophy" and in some cases these factors may be provided only by choroid plexus cells of fetal or neonatal origin.
- 455 It may be that future applications simply comprise a rejuvenation of a relatively aged brain; in which instances the use of "new choroid plexus" reverses (to some extent) subclinical ageing processes.
  - Injuries to the central nervous system may benefit from trophic factors (and possibly also carriers) that can be produced by cell preparations such as those comprising this invention,
- 460 inserted into the CNS.

Finally, we wish to reiterate that the description of various examples as provided in this specification is merely illustrative, and is not in any way to be taken as limiting of this invention as set forth in the following claims.

We claim:

490

495

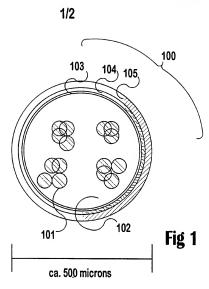
465 1. An implant, for implantation into the brain of a recipient mammal suffering from a neurological disease, characterised in that the implant comprises living cells collected from the choroid plexus of a mammal, at least some of which cells are choroid epithelial cells, said implant being capable of expressing at least one product, having a beneficial effect on the neurological disease, into the brain of the recipient mammal.

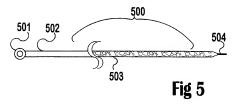
- 470 2. An implant as claimed in claim 1, characterised in that the living cells are encapsulated within a biocompatible capsule, the wall of which is at least partially composed of a semi-permeable membrane capable of admitting metabolites for sustaining the cells, capable of blocking access by factors of the immune system of the recipient mammal, and capable of allowing an effective amount of one or more expressed products to exit from the implant.
- 475 3. An implant as claimed in claim 2, characterised in that the biocompatible capsule has an inner layer including an effective amount of a laminin; the laminin serving as a physical substrate for the living cells thereby providing orientation and support for the cells.
  - 4. An implant as claimed in claim 3 characterised in that the biocompatible capsule comprises a globular containment means capable of holding living cells.
- 480 5. An implant as claimed in claim 3 characterised in that the biocompatible capsule comprises a tubular containment means capable of holding living cells; the implant being capable of placement within a ventricle of the brain of the recipient mammal, so that the products expressed from the implant may access at least some regions of the central nervous system.
- 6. An implant as claimed in claim 1 characterised in that the living cells are taken from the choroid plexus of a fetal or neonatal mammal having a selected age, so that at least some living cells have a predictable capability for expressing at least one product capable of having a beneficial effect on a neurological disease.
  - 7. An implant as claimed in claim 6 characterised in that at least some living cells have undergone subsequent modification in order to increase the production of at least one product capable of having a beneficial effect on a neurological disease.
  - 8. A vascularised device or artificial choroid plexus for use with the body of a mammal to be treated, characterised in that the vascularised device includes (a) means to connect a first, blood-bearing compartment of the device between an artery and a vein, (b) means to pass a a fluid carrying means leading from a second, transudate-bearing compartment of the device to an implantable second end of the fluid carrying means, capable of being implanted into a space within the brain containing cerebrospinal fluid, and (c) internal support means.

500

525

- comprising a permeable wall between the first compartment and the second compartment, capable of supporting living cells collected from the choroid plexus of a mammal as claimed in claim 1, so that said living cells are exposed to transudate passing from the first compartment to the second compartment and so that said living cells may express trophic factors into the transudate.
- 9. A container holding at least one implant as claimed in claim 1 within a container, characterised in that the container also includes a liquid media capable of maintaining the at least one implant in a living condition for a time.
- 505 10.A kit of materials for surgical implantation of an implant, as claimed in claim 1, in the central nervous system of a recipient mammal, characterised in that the kit of materials includes means for providing a sterile site, means for obtaining surgical access through the cranium to the central nervous system, means for haemostasis, means for placing at least one implant in an intended position, a container holding implants as claimed in claim 8, means for closing off the surgical access site, and means for dressing the surgical access.
  - site, so that a risk of introducing an infection during the operative procedure is minimised.
    - 11.A kit of materials for surgical implantation of an implant, as claimed in claim 11, characterised in that the kit of materials includes means for placing at least one implant in an intended position and a container holding implants as claimed in claim 10.
- 515 12.A method for implanting at least one implant as claimed in claim 2 within a ventricle; the method including the steps of selecting a recipient mammal according to need, surgically accessing a ventricle, placing at least a portion of the implant within the ventricle so that the cell products expressed from the implant may flow rapidly into at least some regions of the central nervous system, and optionally removing the implant after a period of treatment.
- 520 13.A method for implanting at least one implant as claimed in claim 1 characterised in that the implant is implanted into a localised area of the central nervous system; the localised area being known to be liable to benefit, in terms of the neurological disease, from the at least one product expressed from the implant.
  - 14.A method for causing at least partial rejuvenation of a brain of a mammal, characterised in that the method comprises the implantation of an implant of choroid plexus cells as claimed in claim 1, into the brain.





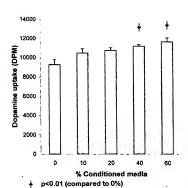


Fig 2

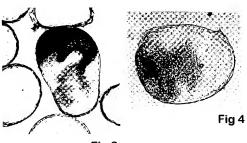


Fig 3